



**Investment Onboarding Questionnaire**

# **Appendix B: Investment Onboarding Questionnaire**

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| **Ref** | **Required Information** | **Response** |
| 1 | **Understanding Your Business** |  |
| 1.1 | What are your main investment activities? Please list key investment categories (e.g. property, shares, currency) |  |
| 1.2 | a) Do you invest in cryptocurrency? If so, is this through a registered digital currency exchange?b) Is cryptocurrency your sole investment asset class? |  |
| 1.3 | Do you participate in FX speculation, CFDs or commodities trading? |  |
| 1.4 | What are the countries you currently hold investments in or plan to hold investments in?  |  |
| 1.5 | Do you have any customers, or provide any products / services to the general public? |  |
| 1.6 | What is the source of funds for your investments? |  |
| 1.7 | Do you collect and invest funds for anyone who is not a director or shareholder of the entity? **(not applicable for SMSFs)** |  |
| 1.8 | Are you required to hold an AFSL or act as an Authorized Representative for an AFS Licensee? If not, please explain why you are exempt. **(not applicable for SMSFs)** |  |
| 1.9 | Can you provide the average transaction size in AUD and number of transactions of your trust in a month? |  |
| 1.10 | Please explain in detail how you would like to use Airwallex? |  |
| 2. | **Please provide copies of the below documents**  |  |
| 2.1 | * 3 months’ worth of recent business bank statements (within the last 6 months). If these are unavailable, please provide supporting documentation that displays your source of funds for the investments.
* Supporting documentation for prior/current investments (e.g. share certificate, investment trading activity report, subscription agreement for prior investment etc)

**If these are not available, please provide reasons why.** |  |

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| Completed by:  | Approved by Company Director:  |
| Designation:  | Designation:  |
| Signature: Date:  | Signature: Date: |

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