

# Airwallex Yield

## Offline Onboarding and Self-Certification Tax Form

### What is the purpose of this form?

Airwallex is required by law to collect and report to relevant authorities tax information on behalf of all investors in the Fund. This is done in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) under the Organisation for Economic Cooperation and Development (OECD).

If you do not provide this information, we will be unable to onboard you to the Airwallex Yield product. If any of the information you have provided in this form becomes incorrect, please contact your account manager to notify us immediately.

### A. Entity Identification

Legal Name of Entity

Business Registration Number

Registered Address

Country of incorporation

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## B. Tax Status Classification

Please select either 1,2,3, or 4, and any subsequent questions.

1. Non-Financial entity (Your business does not offer financial services, or engage in investment activities and financial assets)

A business is classified as an Active Non-Financial entity if: 1) more than 50% of its income is generated through directly producing goods and/or services, such as sales revenue, commissions, tips and other remunerations 2) less than 50% of its assets are held for the production of passive income.

- Active (Complete section D and E)       Passive (Complete section C, D, and E)

2. Publicly listed company or a majority owned subsidiary of a listed company

What is the name of the market or stock exchange on which your business or parent's is listed?

What is your business or parent's unique exchange code (ticker, HKEX code, etc.)

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3. Governmental Entity or Central Bank

[Skip to section E](#)

4. Financial Institution

What is your business's Global Intermediary Identification Number (GIIN)?

Is your business located in a non-CRS participating jurisdiction, and professionally managed by another financial institution?

- Yes  
 No

If you don't have a GIIN, please specify the reason:

- Deemed Compliant Foreign Financial Institution  
 Excepted Foreign Financial Institution  
 Non-participating Foreign Financial Institution  
 Other

[Skip to section E](#)

## C. Beneficial Owners

Beneficial owners mean any persons or entities controlling 25% or more of the shares in the company.

Does the entity have any beneficial owners who are tax residents in jurisdictions other than Hong Kong?

Yes

No

### Beneficial Owner 1

Full name

Date of birth

Residential Address

Please list the beneficial owner's country(s) of tax residency and their respective tax identification numbers.

Country

Tax Identification Number

Signature

### Beneficial Owner 2

Full name

Date of birth

Residential Address

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**Beneficial Owner 2 - continued**

Please list the beneficial owner's country(s) of tax residency and their respective tax identification numbers.

Country

Tax Identification Number

Signature

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**Beneficial Owner 3**

Full name

Date of birth

Residential Address

Please list the beneficial owner's country(s) of tax residency and their respective tax identification numbers.

Country

Tax Identification Number

Signature

## D. Entity Tax Information

Please provide the entity's country(s) of tax residence and their respective tax identification numbers.

Country

Tax Identification Number

If there are more countries of tax residency, please provide them on a separate sheet.

## E. Confirm and sign

By signing and submitting this form, you confirm that all information you have provided is complete and accurate and you certify that you have the capacity to sign for the entity identified in Section A of this form.

Signature

Printed name

Date

Please send this form to your account manager to complete the onboarding process.