# **Appendix B: Investment Onboarding Questionnaire**

| **Ref** | **Required Information** | **Response** |
| --- | --- | --- |
| 1 | **Understanding Your Business** |  |
| 1.1 | What are your main investment activities? Please list key investment categories and industry (e.g. property, start-up companies, shares, currency, cryptocurrency, etc) |  |
| 1.2 | 1.2.1 Whether the investment is for the entire family office or specific members of the family office?  1.2.2 Whether you are acting as a group holding company  1.2.2.1 Whether the company only invests in subsidiaries and owns more than 50% of the subsidiaries. | 1.2.1  1.2.2 Please select  1.2.2.1 Please select |
| 1.3 | What’s the purpose of establishing a company for holding personal investments? |  |
| 1.4 | Please confirm whether the company handles funds on behalf of a third party, including private individuals, businesses, or institutional clients. | Please select |
| 1.5 | Please explain in detail how you would like to use Airwallex. |  |
| 1.6 | Do you participate in any financial services against [AWX's Accepted Use Policy](https://www.airwallex.com/au/terms/acceptable-use-policy)? |  |
| 1.7 | a) Do you invest in cryptocurrency?  If so, is this through a registered digital currency exchange?  b) Is cryptocurrency your sole investment asset class? |  |
| 1.8 | What are the countries you currently hold investments in or plan to hold investments in? |  |
| 1.9 | Do you have any customers, or provide any products/services to the general public? |  |
| 1.10 | What is the source of funds for your investments? |  |
| 1.11 | Do you collect and invest funds for anyone who is not a director or shareholder of the entity? |  |
| 1.12 | Are you required to hold a license for investment activities in the countries or jurisdictions? If not, please explain why you are exempt. |  |
| 1.13 | Can you provide the average transaction size in USD and a number of transactions of your trust in a month? |  |
| 2. | **Please provide copies of the documents below** |  |
| 2.1 | ● 6 months’ worth of recent business bank statements. If these are unavailable, please provide supporting documentation that displays your source of funds for the investments.  ● Supporting documentation for prior/current investments (e.g. share certificate, investment trading activity report, subscription agreement for prior investment etc)  **If these are not available, please provide reasons why.** |  |
| 2.2 | * Source of Wealth attestation: You may find the Source of Wealth Attestation here:<https://help.airwallex.com/hc/article_attachments/11117257557391> |  |

| Completed by: | Approved by the Company Director: |
| --- | --- |
| Designation: | Designation: |
| Signature:  Date: | Signature:  Date: |